

Invited review

Updating P300: An integrative theory of P3a and P3b

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Abstract

The empirical and theoretical development of the P300 event-related brain potential (ERP) is reviewed by considering factors that contribute to its amplitude, latency, and general characteristics. The neuropsychological origins of the P3a and P3b subcomponents are detailed, and how target/standard discrimination difficulty modulates scalp topography is discussed. The neural loci of P3a and P3b generation are outlined, and a cognitive model is proffered: P3a originates from stimulus-driven frontal attention mechanisms during task processing, whereas P3b originates from temporal–parietal activity associated with attention and appears related to subsequent memory processing. Neurotransmitter actions associating P3a to frontal/dopaminergic and P3b to parietal/norepinephrine pathways are highlighted. Neuroinhibition is suggested as an overarching theoretical mechanism for P300, which is elicited when stimulus detection engages memory operations.

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1. Introduction

Discovery of the P300 spurred the use of event-related potential (ERP) methods to assess the neural underpinnings of cognition. This quest is pursued today with a convergence of methods that are beginning to hone the fundamental circuitry and identify the neurotransmitter systems activated when the P300 is observed. Although early understanding of the P300 was derived primarily from functional analysis, this once unitary phenomenon is now thought to be composed of several parts that reflect an information processing cascade when attentional and memory mechanisms are engaged. The present review develops an integrated interpretation of P300 suggested by the current neuroelectric and neuroimaging data. The groundwork is conveyed through citations and summary statements to promote an assessable still-life picture of this evolving research area.

The paper is organized into sections: first, fundamental P300 issues and a theoretical overview are presented. Second, the neuropsychological background of the P3a and P3b distinction is outlined. Third, fMRI data on P300 origins are highlighted to provide the neurophysiological foundations of component neural circuitry. Fourth, the neuropharmacological processes related to P3a and P3b are sketched to suggest how neuroelectric and neurotransmitter systems may interact. Fifth, a model system is proffered in which the P3a and P3b are proposed to result from the operation of inhibitory mechanisms engaged by incoming stimulus events to facilitate memory processing.

To maintain nomenclature consistency, the term “P300” is used to refer to the canonical ERP component, which also is called the “P3” or the more nebulous “late positive component” (LPC). The terms “P3a” and “P3b” denote the distinction between the two subcomponents as defined below. The primary empirical and theoretical approaches to P300 are covered, with early findings reviewed previously (Donchin and Coles, 1988; Hillyard and Kutas, 1983; Hillyard and Picton, 1987; Johnson, 1986, 1988; Molnár, 1994; Picton, 1992; Price and Smith, 1974; Verleg-

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er, 1988). Not directly addressed are aging, clinical, and developmental P300 studies. These literatures have grown in specialized and cross-disciplinary ways, with summaries available elsewhere (e.g., Cycowicz, 2000; DeBoer et al., 2005; Jeon and Polich, 2003; Oken, 1997; Pan et al., 1999; Polich, 2004; Reinvang, 1999; Verleger, 2003).

2. P300 characteristics and theory

2.1. A brief history

The P300 was first reported over 40 years ago (Sutton et al., 1965). Its discovery stemmed from the confluence of increased technological capability for signal averaging applied to human neuroelectric measures and the impact of information theory on psychological research (Sutton, 1979). The original studies manipulated stimulus information to assess how electric brain patterns varied among conditions (see Bashore and van der Molen, 1991). Subsequent results elucidated the roles of stimulus probability and task relevance, which provided the basis for its functional analysis often from data obtained with the “oddball” paradigm (Donchin et al., 1978; Pritchard, 1981).

Fig. 1 illustrates variants of the oddball task. The single-stimulus procedure infrequently presents the target with no other stimuli occurring (top). The traditional two-stimulus oddball presents an infrequent target in a background of frequent standard stimuli (middle). The three-stimulus oddball presents an infrequent target in a background of frequently occurring standard stimuli and infrequently occurring distracter stimuli (bottom). In each case, the subject is instructed to respond mentally or physically to the target stimulus and not respond otherwise. The P300 component is measured by assessing its amplitude and latency. Amplitude (μV) is defined as the difference between the mean pre-stimulus baseline voltage and the largest positive-going peak of the ERP waveform within a time window (e.g., 250–500 ms, although the range can vary depending on stimulus modality, task conditions, subject age, etc.). Latency (ms) is defined as the time from stimulus onset to the point of maximum positive amplitude within a time window. P300 scalp distribution is defined as the amplitude change over the midline electrodes (Fz, Cz, Pz), which typically increases in magnitude from the frontal to parietal electrode sites (Johnson, 1993).

2.2. Context-updating theory

Fig. 2 schematically illustrates a theoretical account of oddball processing. In this framework, the P300 component indexes brain activities underlying revision of the mental representation induced by incoming stimuli (Donchin, 1981). After initial sensory processing, an attention-driven comparison process evaluates the representation of the previous event in working memory – a process distinct from although related to sensory stimulus feature mismatch detection (Heslenfeld, 2003; Kujala and Näätänen,

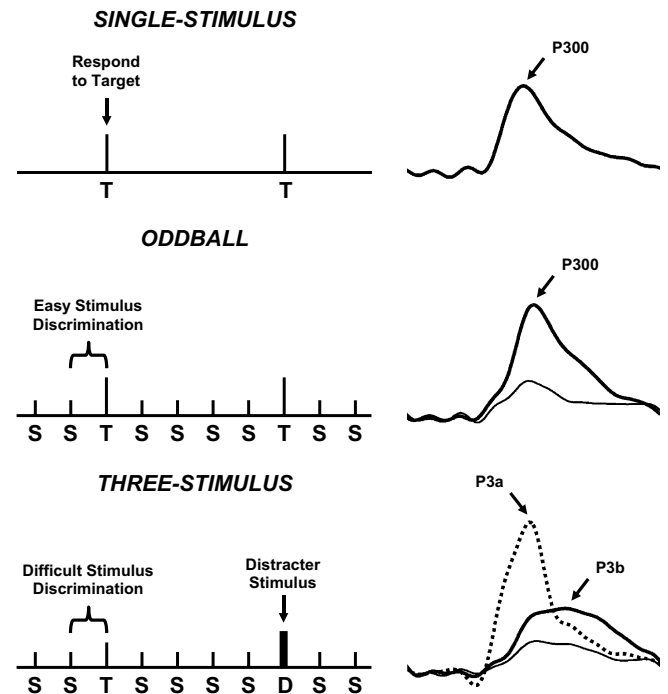


Fig. 1. Schematic illustration of the single-stimulus (top), oddball (middle), and three-stimulus (bottom) paradigms, with the elicited ERPs from the stimuli of each task at the right (Polich and Criado, 2006). The single-stimulus task presents an infrequent target (T) in the absence of any other stimuli. The oddball task presents two different stimuli in a random sequence, with one occurring less frequently than the other (target = T, standard = S). The three-stimulus task is similar to the oddball with a compelling distracter (D) stimulus that occurs infrequently. In each task, the subject is instructed to respond only to the target and otherwise to refrain from responding. The distracter elicits a P3a, and target elicits a P3b (P300). Reprinted with permission of the authors and from Elsevier (Copyright 2006).

2003). If no stimulus attribute change is detected, the current mental model or “schema” of the stimulus context is maintained, and only sensory evoked potentials are recorded (N100, P200, N200). If a new stimulus is detected, attentional processes govern a change or “updating” of the stimulus representation that is concomitant with P300. These events are similar to the orienting response, with P300 habituation/dishabituation observed (cf. Polich, 1989; Rushby et al., 2005; Yamaguchi et al., 2004).

Despite the simplicity of the task situation and the reliability of observing ERPs in the oddball paradigm, a clear understanding of how and why the brain produces the P300 remains elusive. Indeed, stimulus representations (words, objects) maintained in memory from previous exposures such as in a working memory or recognition task can produce P300 components to the reoccurrence of that stimulus that are larger than those from stimulus items not previously encountered (e.g., Doyle and Rugg, 1992; Guo et al., 2006; McEvoy et al., 2001). Although task demands can readily alter such outcomes, the context is refurbished by updating operations that are apparently sensitive to previous stimulus presentations because the

CONTEXT UPDATING THEORY OF P300

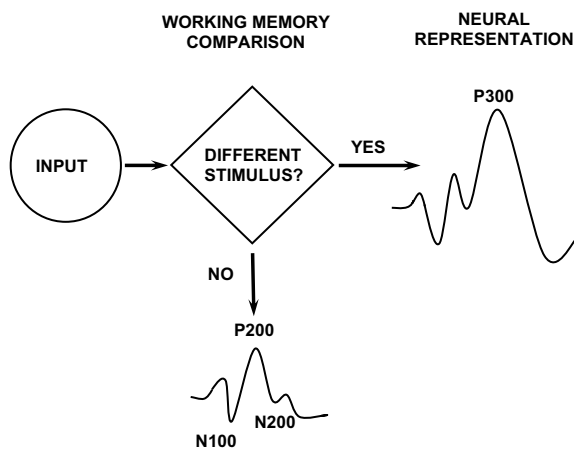


Fig. 2. Schematic illustration of the P300 context-updating model (Polich, 2003). Stimuli enter the processing system and a memory comparison process is engaged that ascertains whether the current stimulus is either the same as the previous stimulus or not (e.g., in the oddball task, whether a standard or a target stimulus was presented). If the incoming stimulus is the same, the neural model of the stimulus environment is unchanged, and sensory evoked potentials (N100, P200, N200) are obtained after signal averaging. If the incoming stimulus is not the same and the subject allocates attentional resources to the target, the neural representation of the stimulus environment is changed or updated, such that a P300 (P3b) potential is generated in addition to the sensory evoked potentials. Reprinted with permission from Kluwer/Spring Publishing (Copyright 2003).

intervening nontarget events engage attention to modify the current neural representation (Donchin et al., 1986).

This versatility of the context-updating hypothesis is a major theoretical strength as 25 years after its proposal and many confirmations, the general nature of the argument has resisted refutation although different theoretical emphases have emerged (e.g., Mecklinger and Ullsperger, 1993, 1995; Nieuwenhuis et al., 2005; Verleger et al., 2005; Yordanova et al., 2001). The context-updating approach may reflect relatively strong initial target stimulus processing more related to P3a and diminishes as the repeated target stimuli occur to produce the P3b (Kok, 2001). That the P300 is responsive to habituation and dishabituation procedures suggests this type of interactive association, with each potential indexing attentional allocation at different levels (Kok, 1997).

2.3. Resource allocation and P300

The context-updating hypothesis of P300 was derived in large measure from manipulating target stimulus probability in two-stimulus oddball tasks. Discriminating the target from the standard stimulus produces a robust P300 that increases in amplitude as the target's global and local sequence probability decreases (Duncan-Johnson and Donchin, 1977, 1982; Johnson and Donchin, 1982; Squires et al., 1976). Target stimulus probability effects served as

the basis for the suggestion that P300 originates from task conditions involving working memory (Donchin et al., 1986), and that conscious awareness may be related to stimulus sequence effects (Leuthold and Sommer, 1993; Sommer et al., 1990, 1998). In addition, P300 amplitude is sensitive to the amount of attentional resources engaged during dual-task performance. A primary task that varies cognitive demands is performed while the subject also is engaged in a secondary task of mentally counting target oddball stimuli. As primary task difficulty is increased, P300 amplitude from the oddball task decreases regardless of modality or the motor requirements of the primary task (Isreal et al., 1980; Kramer et al., 1985; Wickens et al., 1983).

Fig. 3 illustrates the conceptual relationship between attentional resource allocation and P300 outcomes. The processing system is modulated by overall arousal level, which governs the amount of attention available for task performance (Kahneman, 1973). When task conditions are undemanding, P300 amplitude is hypothesized to index attentional resources such that amplitude is relatively large and peak latency is relatively short. Hence, for tasks that require greater amounts of attentional resources, P300 amplitude is smaller and peak latency is longer as processing

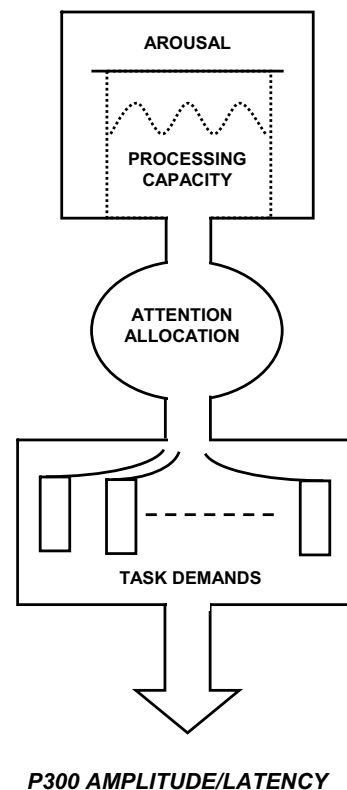


Fig. 3. Schematic illustration of how attentional resources affect P300 (after Kahneman, 1973). This model reflects a general framework for viewing how attentional resources can affect P300 measures. Overall arousal level determines the amount of processing capacity available for attention allocation to on-going tasks. More difficult or multiple task demands reduce P300 amplitude and lengthen peak latency. Reprinted with permission from Academic Press (Copyright 1973).

resources are used for task performance (Kok, 2001; Polich, 1987). Passive stimulus processing generally produces smaller P300 amplitudes than active tasks, because stimulus and non-task events engage attentional resources to reduce amplitude. Further, trait and state arousal levels affect the availability of attention processes to modulate P300 (Kok, 1990; Pribram and McGuinness, 1975). Tonic arousal changes are relatively slow manifestations of energetic state fluctuations, whereas phasic arousal changes indicate the organism's energetic reaction to specific events to affect the availability of attentional resources and P300 measures observed at the scalp (Kok, 2001; Polich and Kok, 1995).

2.4. Target-to-target interval

Fig. 4 illustrates the influence of time-induced limitations on P300 amplitude as a function of target-to-target

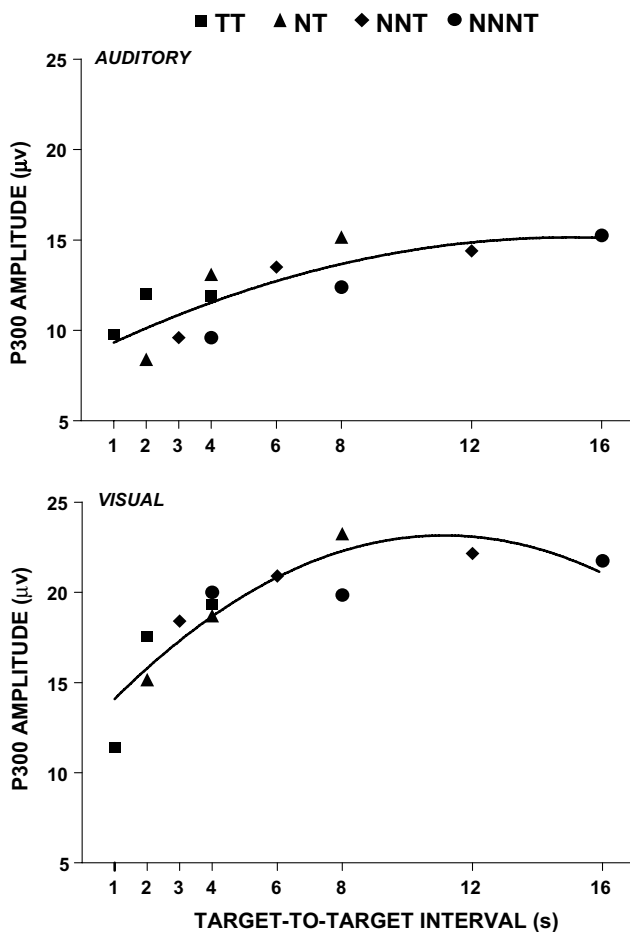


Fig. 4. P300 amplitude plotted as a function of target-to-target interval (TTI) for the target (T) stimulus in an oddball task across sequences of preceding nontarget (N) standard stimuli. The legend defines the symbols used to depict various nontarget and target sequences. The subject is instructed to respond only to the target stimulus. P300 amplitude increases independently of local sequence and global target probability. The regression lines reflect curvilinear best fit for a second order polynomial. Similar results have been found for the single-stimulus paradigm when only target stimuli are presented (Gonsalvez et al., 2007). Adapted from Gonsalvez and Polich (2002) with permission of the authors and Blackwell Publishing (Copyright 2002).

interval (TTI) for stimulus sequences defined by the number of nontarget (standard) stimuli that occur before the detected target (Gonsalvez and Polich, 2002; Gonsalvez et al., 2007). These findings reflect a major empirical qualification of probability and stimulus sequence on P300 outcomes (Squires et al., 1977; Woods and Courchesne, 1986), as TTI determines how quickly resources can be redirected to process target stimuli (Pashler, 1994). Short intervals produce smaller P300 components than longer intervals (Fitzgerald and Picton, 1984), with TTIs of 6–8 s or greater eliminating probability effects (Polich, 1990; Polich and Bondurant, 1997). Temporal limitations therefore may originate from memory trace development governing the event representational quality that underlies P300 generation (cf. Gonsalvez et al., 2007; Kok, 2001).

This theoretical interpretation is supported by P300 findings from “single-stimulus” paradigms in which only the target stimulus occurs randomly and variably in time (Mertens and Polich, 1997a; Polich and Heine, 1996; Polich et al., 1994). This task produces P300 components comparable to the oddball paradigm (Katayama and Polich, 1996; Polich and Margala, 1997; Strüber and Polich, 2002). Topographic localization methods have demonstrated similar waveforms, amplitude distributions, and dipole coordinates across tasks (Croft et al., 2003; Tarkka and Stokic, 1998). Thus, even when the target stimulus probability is unitary, the time between events is the primary determinant of P300 amplitude.

2.5. Memory and P300

The orienting response origins and the attention allocation effects for P300 led to ERP assessment of recall memory to assess whether P300 was associated with recall performance (Karis et al., 1984). Words were presented sequentially and ERPs were recorded. In some lists, one of the words was presented either in smaller or larger font size than the other words, so that stimulus distinctiveness would affect encoding and facilitate recall memory. Distinct word stimuli that were subsequently recalled elicited larger P300 components during encoding than those that were not recalled. However, P300 was affected by the rehearsal strategy such that component amplitude was larger for subsequent recall when participants used rote rehearsal (Fabiani et al., 1986, 1990). When participants employed an elaborative strategy, P300 amplitude was unassociated with subsequent recall performance.

These findings suggested tasks that alter stimulus attention and require fundamental memory processing affect P300 amplitude (Donchin, 1981). In addition, subsequent attentional resource engagement contributes to P300 amplitude as increases in memory load reduce component size because task processing demands increase (Kok, 2001; Wijers et al., 1989). Although the scalp topographic changes with attention and memory requirements vary with task requirements, memory for items that elicit a late positive potential is larger than for items that do not elicit

such a potential (Paller et al., 1988; Rushby et al., 2002). Studied word stimuli that receive full attention also are recognized with more confidence and associated with greater P300 amplitude (Curran, 2004; Curran and Cleary, 2003). Furthermore, the close relationship of encoding mechanisms with P300 amplitude when retrieval is delayed in a serial position paradigm is consistent with the view that this potential is associated with memory engagement (Azizian and Polich, *in press*). Thus, stimulus encoding that promotes successful memory storage to facilitate retrieval and recognition produces increased P300-like amplitude.

2.6. Summary: P300 amplitude

Early accounts of P300 emphasized stimulus information and probability sequence. Subsequent findings described the role of attentional resource allocation, thereby implying that cognitive demands during task processing influence P300. TTI results demonstrated that component size is small for relatively rapid stimulus presentations, whereas target stimulus items occurring at longer intervals yield maximum component amplitudes. This empirical framework is consonant with the link between P300 and attentional processing of target stimulus events – phenomena that appear related to memory processing.

2.7. P300 latency

P300 latency is thought to index classification speed, which is proportional to the time required to detect and evaluate a target stimulus (Kutas et al., 1977; Magliero et al., 1984). Like P300 amplitude, component latency changes across the scalp and is shorter over frontal areas but longer over parietal areas (Mertens and Polich, 1997a; Polich et al., 1997). Stimulus and task requirements contribute to the association between P300 latency and response time, but the strength or sensitivity of the relationship between latency and response time varies across stimulus–response compatibility and Stroop choice-response tasks (Duncan-Johnson and Kopell, 1981; McCarthy and Donchin, 1981). Semantic-based compatibility tasks produce a larger P300 latency/response time difference compared to spatial compatibility tasks. Furthermore, P300 latency has been used as a metric for timing mental events producing other ERP components (Renault et al., 1982; Ritter et al., 1983). Inferences based on component timing and behavioral output therefore must take into account the type of stimulus and decision process underlying response activation (Duncan-Johnson, 1981; Pfefferbaum et al., 1986; Ragot and Renault, 1981, 1985). An insightful review found that P300 timing is sensitive to both stimulus- and response-related processing when responding is fast (Verleger, 1997). This conclusion has evolved into the intriguing possibility that P300 may originate from the neural linkage between stimulus perception and the response to that event (Verleger et al., 2005).

Individual differences for P300 latency are correlated with mental function speed, such that shorter latencies are related to superior cognitive performance (e.g., Emmerston et al., 1989; Johnson et al., 1985; Pelosi et al., 1992a; Polich et al., 1983). The neuropsychological tests that produce the strongest correlation between P300 latency and cognitive capability assess how rapidly subjects can allocate attentional resources (Houlihan et al., 1998; Pelosi et al., 1992b; Reinvang, 1999). P300 latency decreases as children develop (Howard and Polich, 1985; Polich et al., 1990b) and increases with normal aging (Fjell and Walhovd, 2001; Polich, 1996). Component latency also becomes longer as dementia level increases (O'Donnell et al., 1992; Polich and Corey-Bloom, 2005; Polich et al., 1986, 1990a; Potter and Barrett, 1999), although few reports suggest how brain insult or disease prolongs ERP timing (Bashore and Ridderinkhof, 2002; Polich, 2004).

2.8. Summary: P300 latency

P300 peak latency is proportional to stimulus evaluation timing, is sensitive to task processing demands, and varies with individual differences in cognitive capability. However, most studies report only a single peak and response time for specific paradigms rather than fostering a wider theoretical framework. Evaluation of normal aging and cognitive impairment has used P300 latency, but fundamental measurement issues on defining individual peaks are complicated by topographic timing variation, single-trial variability, multiple intra-component peaks, and an absence of clinical guidelines.

2.9. Applied P300 characteristics

Test–retest correlation coefficients for oddball task P300 amplitude range from 0.50 to 0.80 and for peak latency from 0.40 to 0.70 (Fabiani et al., 1987; Polich, 1986; Segalowitz and Barnes, 1993; Walhovd and Fjell, 2002). Latency jitter can contribute to amplitude effects, but it is relatively minimal for oddball tasks (cf. Cohen and Polich, 1997; Karniski and Blair, 1989; Michalewski et al., 1986). Correlation strength is influenced by ultradian rhythms that contribute to ERP individual differences (Lin and Polich, 1999; Ravden and Polich, 1999). Regardless, P300 measures are as reliable as clinical assays, can assess cognitive capability, and are relatively inexpensive to obtain (Polich and Herbst, 2000).

Neuroelectric signals are genetically transmitted (van Beijsterveldt and van Baal, 2002). EEG spectral characteristics are highly similar for identical twins (Lykken, 1974; Stassen et al., 1987), and strong spectral power similarities are observed for biological family members (Eischen et al., 1995; Vogel et al., 1979a,b). P300 is virtually identical for pairs of monozygotic twins, less so for dizygotic twins, and different for unrelated controls (Katsanis et al., 1997; O'Connor et al., 1994; Polich and Burns, 1987). P300 heritability also is evidenced by biologically related family

members who demonstrate significant inter-family member correlations for ERP measures (Eischen and Polich, 1994; Polich and Bloom, 1999). Specific loci on the human genome have been identified that may determine ERP characteristics (Begleiter et al., 1998). These observations suggest that P300 may be a marker of disease phenotype (e.g., Carlson et al., 1999; Jeon and Polich, 2003; Porjesz et al., 2005).

The genetic underpinnings for P300 are consonant with findings for ERPs and personality attributes such as introversion/extraversion, sensation seeking, and impulsivity (Gurrera et al., 2001; Stelmack and Houlihan, 1994). Although the relationship among ERP measures and personality is murky, a correlation between individual differences for personality-related arousal levels and P300 is generally observed: low arousal individuals have smaller P300 amplitudes compared to high-arousal individuals who have larger P300 components (cf. Brocke, 2004; Brocke et al., 1997; De Pascalis, 2004; Stenberg, 1992). This relationship is modulated by biological factors (Polich and Kok, 1995), differences among paradigms (DiTraglia and Polich, 1991; Stenberg, 1994), and psychopathology (Iacono et al., 2002, 2003; Justus et al., 2001). These effects could be related to individual differences for attentional resource capabilities that may stem from variability for neurotransmitter function (Hill et al., 1998, 1999; Polich and Criado, 2006).

2.10. Summary: applied P300 characteristics

P300 amplitude/latency measurement reliability, genetic origins, and personality variables are important for interpreting individual ERP differences. Genetic factors strongly affect P300, and biological determinants contribute to component variability. Although the story is complex, this natural variation occurs across studies and implies that the neuroelectric circuit underlying P300 is a fundamental physiological attribute of individual CNS reactivity.

3. Neuropsychology of P3a and P3b

3.1. Background

An infrequent distinct tone presented in a series of frequent tones without a task can produce a positive-going waveform having a central/parietal maximum amplitude distribution and relatively short peak latency. This component was dubbed the “P3a” to distinguish it from the task-relevant “P3b” potential elicited during target stimulus processing (Snyder and Hillyard, 1976; Squires et al., 1975). P3a from an auditory oddball task can be readily observed in about 10–15% of normal young adults (Polich, 1988), which indicates that observation of subcomponent generation varies across individuals. Appropriate visual stimuli without a task also can produce a P3a-like potential (Jeon and Polich, 2001).

Several ERPs have been reported that appear related to the P3a. These components are elicited by an infrequent distracter stimulus inserted randomly into the target/standard sequence (see Fig. 1c). When perceptually novel distracters (dog barks, color forms, etc.) occur in a series of more typical (tones, letters of the alphabet, etc.) stimuli, a frontal/central P300 can be elicited with a relatively short peak latency that habituates rapidly (Courchesne et al., 1975; Knight, 1984). This potential has been called the “novelty P300” and is interpreted as reflecting frontal lobe activity related to the hippocampus (Grunwald et al., 1998; Knight, 1996). It is observed across modalities (Fabiani et al., 1998; Yamaguchi and Knight, 1991) and populations (Friedman and Simpson, 1994; Friedman et al., 1998; Knight, 1987; Yamaguchi et al., 2000). With repeated stimulus presentation, novelty P300 decreases in amplitude so that it may be more directly related to the orienting response than the P3b (Knight, 1984; Kok, 2001; Riggins and Polich, 2002; Rushby et al., 2005).

If non-novel repeated stimuli (tones, letters, etc.) are used as distracters in a three-stimulus oddball, a “no-go” P300 is elicited. Subjects do not respond to the infrequent distracter and only respond to the targets (Kok, 1986; Pfefferbaum et al., 1985). The P300 from this type of distracter has maximum amplitude over the central/parietal areas (Falkenstein et al., 1999; Katayama and Polich, 1998). The topographic distribution for this component is somewhat more central than the parietal P300 from the target stimulus. The no-go P300 has been linked to response inhibition mechanisms, although this hypothesis is debated (Azizian et al., 2006; Eimer, 1993; Falkenstein et al., 2000; Salisbury et al., 2004).

3.2. P3a and stimulus context

The P3a, novelty, and no-go P300 findings suggest that the type of nontarget distracter and task demands determine component amplitude portraiture (cf. Donchin et al., 1997; Friedman et al., 2001; Gaeta et al., 2003). Historically, the experimental paradigms used to elicit these potentials fostered the idea that they were distinct entities, but this view was modified when the critical factor of task difficulty was assessed systematically in the three-stimulus oddball paradigm. Katayama and Polich (1998) varied perceptual discrimination difficulty between the auditory target and standard stimulus to manipulate the amount of focal attention engaged, with a repeated tone used as the distracter stimulus. For the easy task, P300 amplitude from the distracter and target was largest over the parietal electrodes; for the difficult task, P300 from the distracter was larger than that from the target over the frontal/central electrodes. Target and distracter amplitude was largest over the parietal electrodes for all conditions. The same outcomes were observed for auditory and visual non-novel distracter stimuli evaluated separately. Easy discrimination tasks produced scalp topography similar to no-go P300 potentials, whereas difficult discrimination tasks produced

P3a potentials that were similar to novelty P300 (Comerchero and Polich, 1998, 1999; Hagen et al., 2006).

Subsequent studies supported the interpretation that the P3a, novelty P300, and no-go P300 are variations of the same potential. Spencer et al. (1999) compared oddball target P300 with those from novel distracters in an auditory three-stimulus task by employing a large electrode array and Principal Components Analysis (PCA). The findings indicated that the novelty P3 was a different component from the classic P300. Simons et al. (2001) carefully replicated the original non-novel auditory P3a and novelty P300 tasks, found no differences between the two components using PCA, and concluded that previous distinctions between the P3a and novelty P300 were not supported. Polich and Comerchero (2003) compared novel non-repeating abstract color stimuli and non-novel repetitive blue-square distracters. The easy task yielded a central maximum distribution for the novel stimuli and a central/parietal maximum P300 potential for the non-novel stimuli – i.e., the same topography as the no-go P300. The hard task produced virtually identical central maximum topographies for both the novel and non-novel distracters. Combs and Polich (2006) obtained similar results for the auditory modality when distracters were white noise bursts, different novel sounds, and a high frequency tone: white noise and novel stimuli produced P3a components that were larger over the central electrodes compared to the tone distracters. Taken together, the findings suggest that the P3a, novelty, and no-go P300 are most likely variants of the same ERP that varies in scalp topography as a function of attentional and task demands.

3.3. Theoretical perspective

Fig. 5 illustrates P3a and P3b data using a difficult target/standard discrimination task in a three-stimulus paradigm from 120 healthy young adults. The distracter was a large black/white checkerboard square, and the target was a blue circle that was slightly larger than the standard (not shown) blue circle (Conroy and Polich, 2007). Fig. 5a illustrates the grand averages for the P3a from the checkerboard distracter (thick line) and P3b from the target circle (thin line); the vertical lines indicate the peak latencies and mean response time. Fig. 5b illustrates the topographic distributions of the mean amplitude and latency for the distracter (top) and target (bottom) stimuli. P3a has a central maximum, whereas P3b has a parietal maximum. Peak latency for both potentials was shorter over the frontal and longer over the parietal electrode sites. Fig. 5c illustrates the topographic distributions of the correlation coefficients between P3a (top) and P3b (bottom) peak latencies and response time calculated across subjects for each electrode position. P3a latency and response time are weakly and widely associated, whereas P3b latency and response time are positively and significantly correlated over the parietal areas.

These results demonstrate that P3a and P3b have distinct topographic amplitude distributions. Their scalp topography latency distributions are similar but covary differently with response time. The topographic variation may be induced by different stimulus/task contexts to produce overlapping neural activation patterns that are functionally distinct (Gaeta et al., 2003; He et al., 2001; Spencer et al., 2001; Yago et al., 2003). This perspective is consonant with the view that novelty processing is modulated by contextual and familiarity effects: non-repeating stimulus events define novel items, but repeating stimulus events engage top-down processing (Ranganath and Rainer, 2003). Hence, novelty P300 and P3a may differ with respect to how attentional processes are engaged for distracter stimuli (Demiralp et al., 2001; Hagen et al., 2006; Jeon and Polich, 2001). As distracter stimuli do not receive an overt response, topographic differences among potentials necessarily reflect stimulus-driven attributes that can be manipulated by discrimination task demands. Whether the resulting components from a wider range of stimulus configurations, probabilities, or multiple target responses would yield similar outcomes is unknown. However, given the relatively simple paradigms assessed to date, it is reasonable to infer that stimulus evaluation engages focal attention (P3a) to facilitate context maintenance (P3b), which is associated with memory operations (Hartikainen and Knight, 2003; Kok, 2001; Polich, 2003).

3.4. Neural origins of P3a and P3b

The neural generators of P300 are imprecisely delineated, although appreciable progress has been made in the last 25 years (Eichele et al., 2005; Linden, 2005; Soltani and Knight, 2000). Patients with frontal lobe lesions demonstrated diminution of P3a amplitude, whereas the same patients demonstrated a parietal maximum for the P3b. Frontal lobe integrity is therefore necessary for P3a generation (Knight, 1984; Knight et al., 1995). Moreover, patients with focal hippocampal lesions evinced reduced P3a amplitude from novel distracters but normal P3b components from targets (Knight, 1996). Initial studies of the hippocampal formation using depth electrodes in humans suggested that at least some portion of the P300 (P3b) is generated in the medial temporal lobe (Halgren et al., 1980; McCarthy et al., 1989). However, subsequent reports of scalp recordings from individuals after temporal lobectomy (Johnson, 1988b; Smith and Halgren, 1989), experimental excisions in monkeys (Paller et al., 1988, 1992), and patients with severe medial temporal lobe damage (Onofrij et al., 1992; Rugg et al., 1991) found that the hippocampal formation does not contribute directly to P300 generation (Molnár, 1994). Indeed, assessment of patients with bilateral hippocampal lesions without surgical intervention demonstrated no reliable P300 differences relative to controls (Polich and Squire, 1993). P300 amplitude is affected by temporal–parietal junction integrity as its absence greatly reduces component size over the parietal

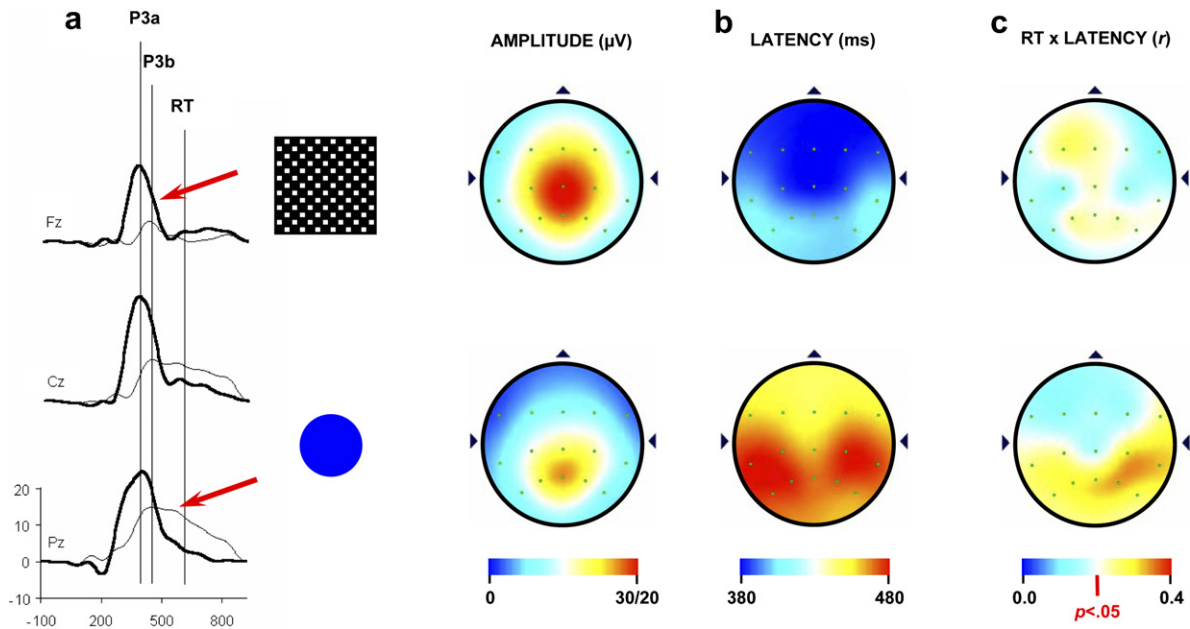


Fig. 5. (a) Grand averages of the P3a, P3b, and response time (RT) from a three-stimulus oddball task ($N = 120$). Subjects were instructed to press a button whenever an infrequent target (5.0 cm diameter) circle was detected in a series of standard (4.5 cm diameter) stimuli (not shown). Infrequently presented distracter checkerboard patterns (18 cm²) were employed to elicit the P3a. (b) Topography distributions for the mean P3a (upper) and P3b (lower) amplitude and latency. Note the distinct patterns for amplitude and latency from each component. Amplitude scales on upper end (30/20) refer to μV for P3a and P3b, respectively. (c) Topographic distributions of the correlation between P3a (top) and P3b (lower) latency and response time. The subject responded only to the target stimuli. P3a and response time were moderately correlated, whereas P3b and response time were strongly correlated over parietal areas. Adapted from Conroy and Polich (2007) with permission of the authors and Hogrefe & Huber Publishers (Copyright 2007).

area (Knight et al., 1989; Verleger et al., 1994; Yamaguchi and Knight, 1992). This connection implies that the P3a and P3b indicate a circuit pathway between frontal and temporal/parietal brain areas (Knight, 1990; Polich, 2003; Soltani and Knight, 2000).

Fig. 6 presents a neuropsychological model for P3a and P3b based on these results (Polich, 2003). Discrimination between target and standard stimuli in an oddball paradigm is hypothesized to initiate frontal lobe activity that is sensitive to the attentional demands induced by task performance (Pardo et al., 1991; Posner, 1992; Posner and Petersen, 1990). fMRI and ERP findings have demonstrated frontal lobe activity for the detection of rare or physically alerting stimuli (McCarthy et al., 1997; Potts et al., 1996;

Verbaten et al., 1997). P3a may be generated when such stimuli are processed if sufficient attentional focus is engaged. P3b appears to occur when subsequent attentional resource activations promote memory operations in temporal–parietal areas (Brázdil et al., 2001, 2003; Knight, 1996; Squire and Kandel, 1999). Indeed, elegant cellular recording studies in primates indicate that information induced by changes in frontal activation during a matching-to-sample task is shunted to infero-temporal structures that index task context updating for future stimulus presentations (Desimone et al., 1995). Thus, it is reasonable to suppose that P3a and P3b generation stems from frontal and temporal/parietal activations (Ebmeier et al., 1995; Kirino et al., 2000).

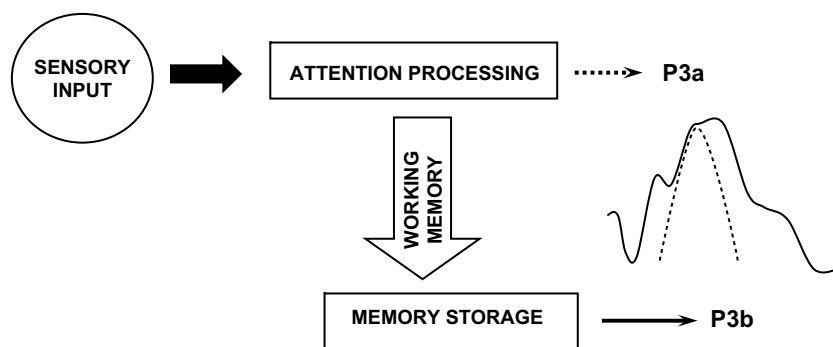


Fig. 6. Schematic model of cognitive P300 activity (Polich, 2003). Sensory input is processed, with frontal lobe activation from attention-driven working memory changes producing P3a and temporal/parietal lobe activation from memory updating operations producing P3b. Reprinted with permission from Kluwer/Spring Publishing (Copyright 2003).

This view is congenial with the neurocognitive assumptions that incoming stimuli invoke top-down attention switching, and that bottom-up memory-driven operations guide response organization and production (cf. Debener et al., 2005; Escera et al., 1998; Goldstein et al., 2002). ERP and fMRI studies suggest that a frontal attention mechanism governs neural responsivity to novelty (Daffner et al., 2000a,b,c; Suwazono et al., 2000), thereby implying top-down control (Bledowski et al., 2004a; Dien et al., 2004; Kiehl et al., 2005; Opitz, 2003; Opitz et al., 1999). Attentional resources used to maintain memory items in parietal regions may result from response organization produced by bottom-up processing (Conroy and Polich, 2007; Nieuwenhuis et al., 2005; Verleger et al., 2005). In sum, stimulus characteristics and task demands are determinants of distracter evaluation and contribute to the different topographic and timing outcomes observed at the scalp (Berti et al., 2004; Debener et al., 2002; Gaeta et al., 2003; Polich and Comerchero, 2003).

3.5. Summary: neuropsychology of P3a and P3b

The functional and neuropsychological origins of different P300 potentials suggest that the P3a, novelty P300, and no-go potentials elicited by distracter stimuli are variants of the same generation system. The differences among these distracter components appear to arise from variation in top-down monitoring by frontal attention mechanisms engaged to evaluate incoming stimuli. Processing of such stimulus events produces P3b activity related to context-updating operations and subsequent memory storage. The proposed model is speculative but the fundamental neural pathways are consistent with empirical findings,

data from lesion studies, and theoretical constraints. A major issue is how the memory storage operations are related to P300. The large number of resulting ERP memory studies has produced a complex set of outcomes that are only sketched here. The general assertion that P300 is generated in the service of memory storage remains supported by these findings, even though a comprehensive view has not emerged.

4. Neuropharmacology of P300

4.1. Dual-transmitter hypothesis

The neurotransmitter systems underlying P300 generation are yet unclear, with various mechanisms implicated (Frodl-Bauch et al., 1999; Hansenne, 2000). However, available data suggest that P3a is related to frontal focal attention and working memory mediated by dopaminergic activity, and that P3b is related to temporal–parietal activity where dense norepinephrine inputs are found (cf. Braver and Cohen, 2002; Nieuwenhuis et al., 2005; Pineda, 1995; Pineda et al., 1989; Polich and Criado, 2006).

Fig. 7 illustrates examples of “natural” neuropharmacological interventions (Poceta et al., 2006). The P3a and P3b amplitude data were obtained using a three-stimulus paradigm to compare unaffected controls, patients with restless leg syndrome, and patients with Parkinson’s disease. Restless leg syndrome is thought to originate from dopaminergic deficits, with greater such deficits found for Parkinson’s disease patients (Trenkwalder and Winkelmann, 2003). As indicated by the topographic mappings, P3a amplitude from the distracter stimulus is robust for the controls, decreased for the restless leg syndrome patients, and

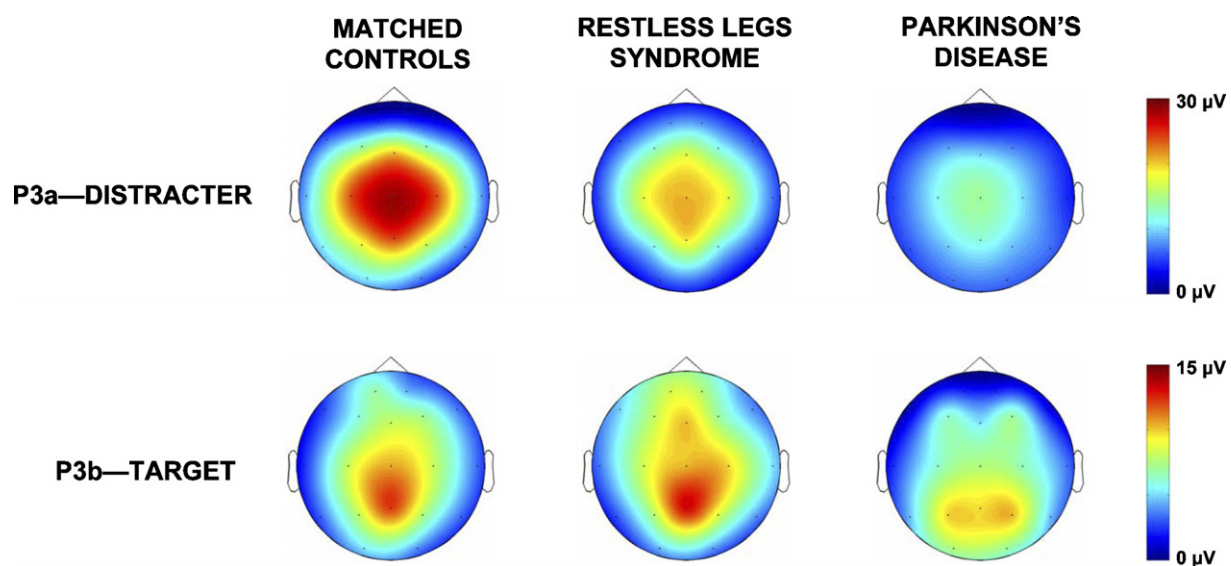


Fig. 7. Topographic amplitude mappings from the distracter and target stimuli (after Poceta et al., 2006). Each subject in the three groups of unaffected matched control, restless leg syndrome, and Parkinson’s disease patients performed the same task. The patients were matched on age, gender, and education with $n = 7$ individuals per group. The P3a amplitudes illustrate increasing dopaminergic deficits from left to right; the P3b amplitudes demonstrated little difference between controls and the restless leg syndrome patients, with Parkinson’s disease patients demonstrating appreciably smaller amplitudes.

virtually eliminated for the Parkinson's patients. P3b from the target stimulus for the controls and restless leg patients is comparable, but greatly reduced for the Parkinson's patients. These data suggest that at least the P3a and some portion of the P3b are affected by dopaminergic activity (Polich and Criado, 2006).

Several lines of evidence imply catecholaminergic mediation of frontal P300 (P3a) generation: (1) Parkinson disease patients who have decreased levels of dopamine demonstrate deficient P300 measures (Hansch et al., 1982; Stanzione et al., 1991). (2) The dopamine antagonist sulpiride increases P300 in low-amplitude subjects and decreases it in high-amplitude subjects (Takeshita and Ogura, 1994). (3) Pharmacological studies have found dopaminergic mediation of P300 amplitude and latency (Hansenne et al., 1995; Wang et al., 2000). (4) Children at elevated risk for alcoholism demonstrate dopamine-related genetic differences and P300 amplitude deficits (Hill et al., 1998), which may be associated with an "endophenotype of alcoholism" that likely originates from externalizing disorders (cf. Hesselbrock et al., 2001; Hicks et al., 2007).

A comprehensive review of the wide-ranging P300 neuropharmacology literature suggests that the locus coeruleus-norepinephrine (LC-NE) system underlies parietal P300 (P3b) generation for a target detection task (Nieuwenhuis et al., 2005). Since the neuropharmacological evidence stems primarily from ERPs elicited in rat, cat, and monkey populations, differences in paradigm and task performance need to be considered in evaluating these outcomes. However, the suggestion that LC-NE contributes to P300 generation is consonant with attention resource allocation and arousal-related effects in humans (Intriligator and Polich, 1995; Kok, 1997, 2001). The topographic LC-NE activation of temporal-parietal areas also is in agreement with overall P300 characteristics (Aston-Jones and Cohen, 2005).

4.2. Summary: P300 neurotransmitters

Given that frontal/attention P3a and temporal-parietal/memory P3b origins are associated with dopaminergic and LC-NE activity, respectively, individual ERP differences for acute and chronic drug intake could be associated with specific transmitter responsivity variation. One approach to these issues in humans is to assay ERP effects before and after acute drug intake and compare individuals who vary in chronic drug-use frequency. If P3a and P3b topographic distributions change as a function of acute and/or chronic drug use, development of a metric for assessing drug effects can be pursued. Neuropharmacological ERP studies in humans are difficult to execute, with stimulus/task considerations (cue reactivity, performance variation, response difficulty), neurobiological variables (circadian rhythms, dose level, gender differences), administrative challenges (pre-test deprivation, retest reliability, task fatigue), and necessary control groups (no drug use, only target drug use, frequency/amount use level) all possibly affecting the

assessment. Characterizing ERP neurochemical foundations should greatly further the understanding of their neurophysiological mechanisms and yield important clinical applications.

5. What does the P300 do?

The P300 is produced by a distributed network of brain processes associated with attention and memory operations. However, specifying a singular overarching explanation for this neuroelectric phenomenon has proven difficult, primarily because the P300 is observed in any task that requires stimulus discrimination – a fundamental psychological event that determines many aspects of cognition. Differentiation between the P3a and P3b subcomponents has begun to elucidate the interaction between initial and subsequent P300 processes, but the resulting component's ontology still is unknown.

5.1. P300 as inhibition: a hypothesis

Given the nature of the P300, generation of a neuroelectric event linked to attention and memory-related operations might be caused by brain mechanisms engaged to inhibit extraneous brain activation. The implication of this hypothesis is that the P300 and its underlying subprocesses could reflect rapid neural inhibition of on-going activity to facilitate transmission of stimulus/task information from frontal (P3a) to temporal-parietal (P3b) locations. P300 signals could occur from the initial need to enhance focal attention during stimulus detection relative to the contents of working memory (Knight, 1997; Soltani and Knight, 2000). Hence, a minimization of extraneous stimulus processing would facilitate the transference of incoming stimulus information from frontal to temporal-parietal areas to sharpen memory operations. This speculation is supported broadly by neurophysiological results (Friedman et al., 2001; Knight and Nakada, 1998; Nieuwenhuis et al., 2005; Ranganath and Rainer, 2003), experimental findings (Birbaumer and Elbert, 1988; Birbaumer et al., 1990), and personality ERP variation (DiTraglia and Polich, 1991; Orlebeke et al., 1989; Polich and Martin, 1992; Stenberg, 1994). Although these results are diverse, such an inhibition hypothesis cognitively encompasses the aggregate findings using a unitary mechanism.

An inhibition hypothesis is consistent with the functional descriptions of P300 outlined above: (1) Since infrequent, low probability stimuli can be biologically important, it is adaptive to inhibit unrelated activity to promote processing efficiency thereby yielding large P300 amplitudes. (2) Difficult and dual processing tasks that induce high cognitive demand limit attentional resources to resist inhibitory control and produce smaller P300 components. (3) Arousal would modulate the level of neural inhibition engaged, as it governs the amount of attention resources available for task performance to affect P300 measures. Since endogenous arousal level is a proposed

major difference among personality characteristics, ERP differences across individual profiles also could be accounted for by this scheme. (4) The relationship between P300 peak latency and cognitive capability indexes the celerity with which extraneous processes are inhibited – a highly advantageous quality associated with intelligence. (5) Declines in P300 amplitude and lengthening of latency with aging and dementing illness stem from breakdowns in cortical processes underlying inhibitory signals. (6) The postulated neurotransmitter systems for P3a and P3b are congenial with an inhibition hypothesis, as these neurochemical effects influence inhibitory signals to affect P300. Thus, a general neural inhibition approach seems to work as an underlying P300 generation mechanism when stimuli that garner attention are detected and engage memory operations.

Fig. 8 presents a schematic outline of how these processes might occur. Attention-demanding stimuli elicit a P3a when the contents of working memory change. These events are hypothesized to initiate neural activity towards the areas associated with P3b production and subsequent memory storage. If the resultant ERP waveforms originate from inhibitory signals derived from stimulus and task processing, a major question is how P3a information is transmitted to structures used for P3b generation (suggested by the black arrows in the figure). Communication of the output from frontal attention and working memory processes to temporal/parietal activation has been documented in primates and findings from intra-cranial as well as neuro-

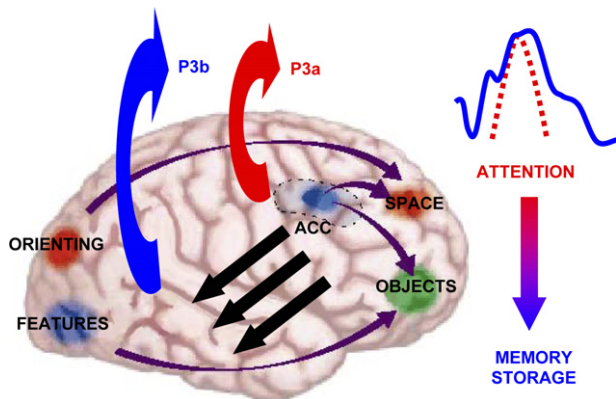


Fig. 8. Schematic representation of brain activation patterns underlying P3a and P3b generation (after Gazzaniga et al., 2000). The model suggests that stimulus information is maintained in frontal lobe working memory and monitored by anterior cingulate structures. When focal attention for the standard stimulus is disrupted by the detection of a distracter or a target (stimuli that garner attention automatically or purposefully from task demands), the P3a is perhaps generated by the activation pattern of the anterior cingulate and related structures. The attention-driven neural activity signal may be transmitted to temporal–parietal areas. Memory-related storage operations are engaged and P3b is generated via temporal/parietal cortical structures. As indicated by the ERP waveform and arrow to the right, every “P300” is composed of the P3a and P3b subcomponents, but the resulting ERP scalp topographies vary with the stimulus and task conditions that elicit them. Reprinted with permission from W.W. Norton & Company (Copyright, 2000).

imaging recordings in humans (cf. Brázdil et al., 2001, 2003; Desimone et al., 1995; Halgren et al., 1998; Simons and Spiers, 2003). A neuroelectric connection between frontal and temporal/parietal structures could modulate P3a and P3b activity at the scalp. As suggested above, variegated P300 data sets can be subsumed by inhibitory modulation in this fashion.

Fig. 9 illustrates fMRI activation from a three-stimulus oddball task using non-novel distracter stimuli and a difficult target/standard task (Bledowski et al., 2004b). The pattern of fMRI hemodynamic responses indicates that strong frontal lobe activation occurs for distracter stimulus processing, with minimal temporal/parietal activation observed. The target stimulus produces activation in both frontal and temporal/parietal areas. These patterns occur in cortical areas associated with P3a and P3b generation. Whether the fMRI signals reflect the sequelae of rapid neuroelectric inhibitory mechanisms is unknown, but the similarity of the magnetic and electric signal locales is suggestive. Indeed, structural gray matter volume imaging found P3a amplitude variation is positively correlated with frontal lobe area size, whereas P3b amplitude variation is positively correlated with parietal lobe area size (Ford et al., 1994). Such individual differences in cortical area may contribute to normative P3a and P3b amplitude and latency variability (Conroy and Polich, 2007; Polich, 1988; Squires et al., 1975). Thus, functional and structural imaging data mimic the neuroelectric morphological signatures of P3a and P3b.

Fig. 9 also indicates greater activation for the right compared to left hemisphere. Given a frontal–parietal right-hemisphere attentional network system, wider activation for right-hemisphere processing would be expected (Posner and Dehaene, 1994). These imaging findings support observations of larger P300 amplitude over the right compared to left frontal/central areas for a variety of stimulus types in an oddball task (Alexander et al., 1995, 1996; Mertens and Polich, 1997b). Communication between the frontal to temporal/parietal areas appears to be propagated across the corpus callosum to affect ERP morphology (Barcelo et al., 2000; Baudena et al., 1995; Satomi et al., 1995). This pathway contributes to P300 differences between handedness groups: left-handers have larger callosal pathways than right-handers (Driesen and Raz, 1995; Witelson, 1992), and larger P300 amplitudes with shorter latencies have been found for left- compared to right-handed individuals (Alexander and Polich, 1995, 1997; Polich and Hoffman, 1998). Taken together, these findings suggest that P300 measures are hemispherically similar to these fMRI activation patterns.

5.2. Neuroelectric underpinnings of P3a and P3b

Conventional ERP analyses are performed in the time domain by assessing the amplitudes and latencies of prominent peaks and associating the resulting measures with information processing variables. The distinct time-scales

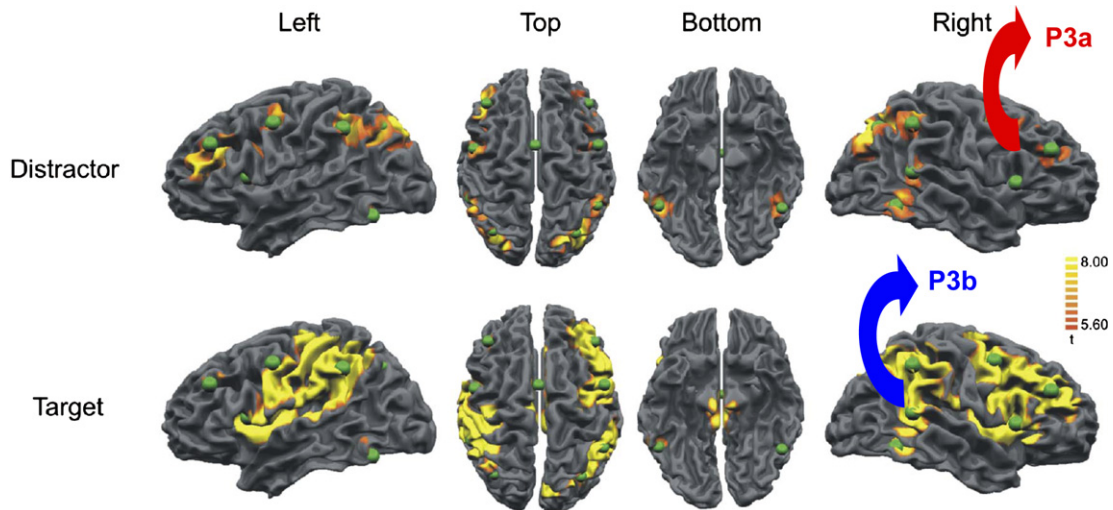


Fig. 9. Brain activation patterns from a visual three-stimulus oddball task modeled after that described in Fig. 6 used with fMRI and EEG recordings (after Bledowski et al., 2004b). Arrows and labels have been added to the images on the right for emphasis. The green spheres reflect dipole generator sources and appeared on the original figure. Reprinted with permission of the Society for Neuroscience (Copyright 2004).

for brain stem, middle latency, and endogenous potentials indicate that frequency is an important parameter for ERP interpretation (Hillyard and Picton, 1987; Makeig et al., 2002; Polich and Starr, 1983; Regan, 1989). Procedures such as Principal Component Analysis (PCA) and Independent Component Analysis (ICA) are based on mathematical transformations of ERP data and can be employed to separate functionally distinct events that occur simultaneously in

time (Kayser and Tenke, 2003, 2005; Makeig et al., 2004). Additional analytical methods are also available (cf. Johnson, 1993; McCarthy and Wood, 1985; Urbach and Kutas, 2002). In contrast, EEG/ERP frequency domain analyses have revealed that EEG rhythms in specific frequency ranges are functionally related to cognitive processing and behavior (Anokhin et al., 1999; Başar et al., 1997; McEvoy et al., 2000; Pfurtscheller and Lopes da Silva, 1999).

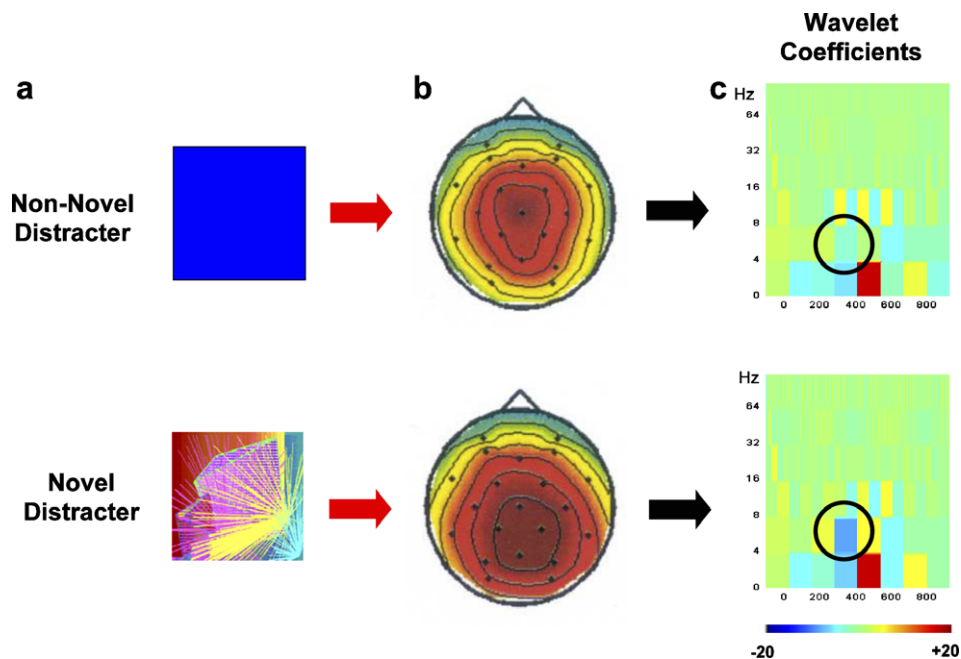


Fig. 10. (a) Illustrations of non-novel blue-square distracter and novel distracter stimuli used in a three-stimulus oddball task (after Demiralp et al., 2001). Both squares were relatively large (18 cm²) and presented on a monitor. Blue-squares were always the same stimulus, whereas the novel stimuli varied in form and color across trials. (b) Topographic distributions of the grand average P3a components (μ V) from the blue-square and novel distracter stimuli. (c) Time-frequency wavelet analysis representation of the blue-square and novel distracter stimuli. The amplitudes of the wavelet coefficients are encoded in color, with brighter colors indicating greater spectral power. Circles indicate theta activity. Reprinted with permission from Springer Publishers (Copyright, 2001).

These methods were first introduced by Başar in animals (Başar, 1980; Başar et al., 1979), with similar techniques applied to humans (Başar and Stampfer, 1985; Başar et al., 1980, 1984; Stampfer and Başar, 1986). Wavelet transform (WT) is an efficient time-frequency decomposition method (Daubechies, 1990), which has been used on ERP signals (e.g., Ademoglu et al., 1997; Kolev et al., 1997; Yordanova et al., 2000). The major advantage of WT is its multi-resolution property that employs shorter time windows for higher frequencies and longer time windows for lower frequencies – an attribute that closely matches the structural properties of ERP signals (Ademoglu et al., 1998; Samar et al., 1995). The variable time-frequency localization method therefore takes into consideration the overlapping of ERP components and provides for the efficient analysis of the transient non-stationary ERP signals (Demiralp et al., 1999).

Fig. 10 illustrates an application of the WT to assess non-novel and novel distracter stimuli when P3a is generated by a difficult oddball task (Demiralp et al., 2001). The topographic amplitude distributions are similar for the two distracter types, as typically found for perceptually

demanding discrimination tasks (cf. Combs and Polich, 2006; Polich and Comerchero, 2003). The spectral density plots of the WT coefficients also are similar for both distracter types (see figure caption for details). However, the novel visual stimuli produced significantly more theta band activity during the P3a time interval than did the non-novel stimuli. These results suggest that theta band frequencies are engaged more for novel compared to non-novel stimuli. In addition, spectral analysis of the P3b from an auditory oddball task has demonstrated that cognitive variables strongly affect theta band activity (Spencer and Polich, 1999). If the P3a stems from the initial inhibitory activation elicited by focal attention to a distracting stimulus and P3b indexes subsequent inhibition related to memory, theta frequency modulations may provide inhibitory control of these processes.

Fig. 11 illustrates the relationship between slow alpha (8–10 Hz) spectral power and mean frequency measures with auditory P300 amplitude and latency from normal young adults (Intriligator and Polich, 1994). Other frequencies demonstrated similar associations, but the slow alpha activity yielded the strongest correlation. Furthermore, pre-stimulus EEG and post-stimulus ERP measures are most strongly related to the theta and alpha

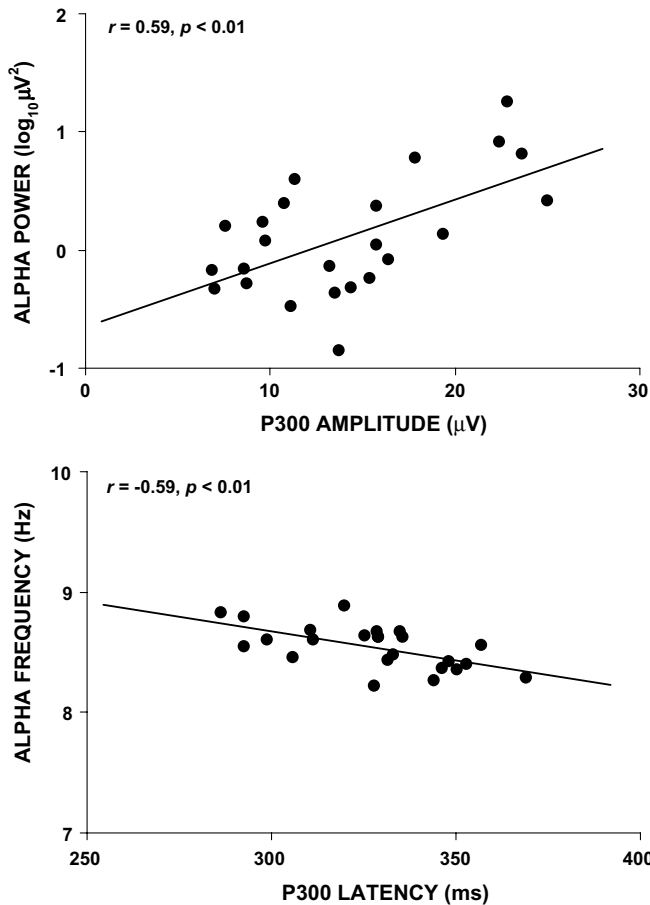


Fig. 11. Upper panel: mean alpha power plotted against P300 amplitude (after Intriligator and Polich, 1994). Lower panel: mean alpha frequency plotted against P300 latency. EEG was collected with eyes open. ERP data were elicited using an auditory oddball task ($n = 24$). Reprinted with permission from Elsevier (Copyright 1994).

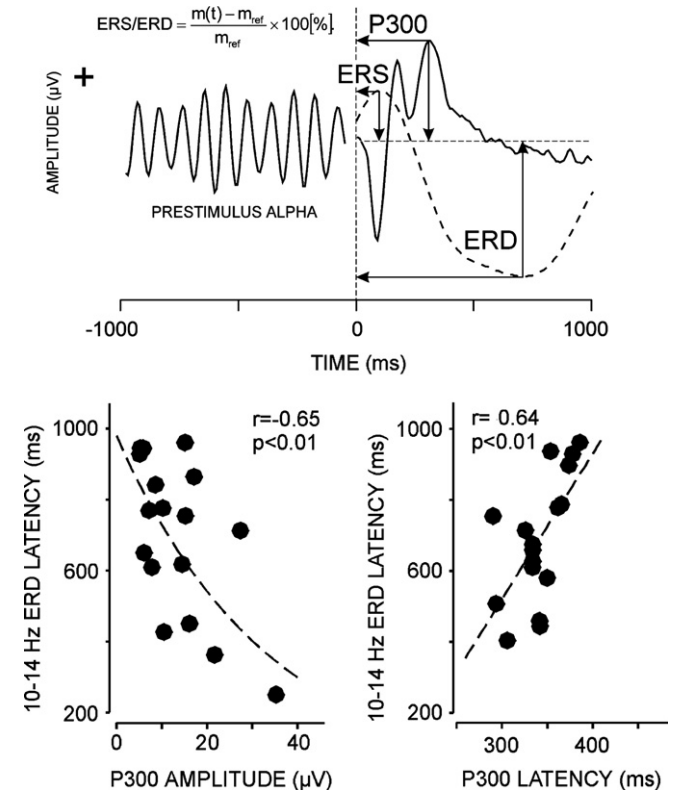


Fig. 12. Upper panel: illustration of event-related synchronization (ERS) and event-related desynchronization (ERD) from stimulus-related alpha activity calculations obtained during and ERP auditory oddball task (after Yordanova et al., 2001). Lower panel: ERD latency plotted against P300 amplitude (left) and amplitude (right) from normal young adults. ERP data were elicited using an auditory oddball task. Reprinted with permission from Blackwell Publishing (Copyright 2001).

EEG bands (Brandt et al., 1991; Jansen and Brandt, 1991), and positive correlations have been obtained between pre-stimulus theta/alpha spectral power and P300 amplitude (Başar et al., 1989; Jasiukaitis and Hakerem, 1988; Pritchard et al., 1985). These results may reflect attentional mechanisms that modulate slow alpha (Klimesch, 1997; Klimesch et al., 1992, 1993), and episodic memory operations that alter fast alpha (10–12 Hz) activity (Hanslmayr et al., 2007; Klimesch, 2003). Thus, alpha band spectral power covaries with P300, which suggests this ERP is related to attention and memory neuroelectric effects (Intriligator and Polich, 1995; Polich, 1997).

5.3. Event-related desynchronization and P300

Alpha suppression (or desynchronization) was first observed by Berger (1929). Phasic alpha event-related desynchronization (ERD) can be induced by sensory stimulation across modalities (Aranibar and Pfurtscheller, 1978; Krause et al., 1994; Mann et al., 1996). Alpha ERD also occurs during cognitive processing invoked by task conditions requiring attention and memory (Boiten et al., 1992; Pfurtscheller and Klimesch, 1991, 1992; Sergeant et al., 1987). ERD originates from a reduction of fast non-phase-locked oscillations, whereas P300 is composed of phase-locked delta and theta-range synchronized oscillations (Başar-Eroglu et al., 1992; Kolev et al., 1997; Lopes da Silva, 1999; Pfurtscheller et al., 1996; Yordanova and Kolev, 1998a). Task-induced alpha frequencies have specific functional meanings: slow alpha ERD (8–10 Hz) is associated with attention and fast alpha ERD (10–12 Hz) is associated with memory (Klimesch, 2003; Schack et al., 2005) operations, although stimulus and task demands affect specific outcomes (cf. Klimesch et al., 2006; Ward, 2003). ERD could therefore index the effects of cognitive operations on alpha activity power and frequency (Klimesch et al., 1998; Spencer and Polich, 1999; Yordanova and Kolev, 1998b; Yordanova et al., 2000).

Fig. 12 summarizes how ERD is calculated and illustrates the relationship of alpha frequency deactivation to P300 amplitude and latency elicited with an auditory odd-ball task (Yordanova et al., 2001). ERD measures were obtained from post-stimulus late alpha power. Strong relationships between ERD and P300 (P3b) were found: (1) ERD onset was negatively associated with P300 amplitude – the shorter the onset time, the larger the component amplitude. (2) ERD onset was positively associated with P300 latency – the shorter the onset time, the shorter the component latency. (3) Homogeneous variability for each measurement pairing was observed across subjects. Assuming that ERD is related to neural inhibition by virtue of its desynchronization origins, P300 amplitude and latency could therefore stem from alpha band deactivation. Whether P3a is regulated by this process is unknown, but P3b is clearly related to desynchronization of late alpha frequency EEG.

5.4. Summary: P300 and neuroinhibition

The P300 waveform may result from the operation of neural inhibition generated when cognitive mechanisms are engaged by stimulus and task demands. The neuroelectric architecture of the phenomenon appears related to the activity and desynchronization of the theta and alpha frequency bands. These activation patterns also may reflect the effects of gamma band signals (Canolty et al., 2006). It is notable in this context that theta and alpha frequencies have been strongly linked to meditation effects – a self-induced neuroelectric inhibition (Cahn and Polich, 2006). Thus, the P300 may be the neuronal consequence of stimulus events important enough to inhibit concomitant brain activity and therefore index processes that are generated by brain mechanisms underlying various types of attention and memory operations.

6. Conclusions

The present review has attempted to assess the diverse P300 literature by integrating the background findings of the P3a and P3b subcomponents. The approach traced the historical development of P300 and emphasized the functional, neurophysiological, and neuropsychological mechanisms associated with component generation. Neuroimaging and neuropharmacological investigations were highlighted to substantiate how P3a and P3b might interact. The empirical findings and developed theoretical perspective suggest that the P300 may stem from neural inhibitory activity organized to delimit task-extraneous events to sculpt attentional focus and promote memory operations for target stimuli.

The proposed model posits that “the P300” comprises an early attention process stemming from a frontal working memory representational change to produce the P3a. The attention-driven stimulus signal is then transmitted to temporal and parietal structures related to P3b. These resulting potentials can be dissociated with paradigmatic manipulations and are generated when perceptual stimulus discrimination occurs. That fundamental EEG changes are connected to P300 variability is consistent with a neural inhibition hypothesis of stimulus processing. The fundamental issues of what neuroelectric mechanisms structure and convey these signals are being explored, with the findings indicating that theta and alpha band activity may govern the relationship of the P3a to attention and the P3b to memory processing.

If these processes determine P3a and P3b generation, understanding the origin of neuroelectric information and its transmission are important next steps in discerning the meaning of P300. As the role of neurotransmitter contributions to neuroelectric signals recorded at the scalp becomes better known, how this factor contributes to EEG desynchronization will elucidate the emerging neural organizational portrait more precisely. Articulating the way in which these variables interact, even in broad terms, will

help clarify the underlying mechanisms. Achieving this goal will fulfill the cognitive promise that the P300 inspired when it was discovered over 40 years ago.

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